

#### ORIGINAL PAPER

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# CHARACTERISTICS OF A NEW RESPIRATORY SYNDROME ASSOCIATED WITH THE USE OF A HUMIDIFIER DISINFECTANT: HUMIDIFIER DISINFECTANT-RELATED RESPIRATORY SYNDROME (HDRS)

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#### Abstract

**Objectives:** The purpose of this study was to characterize a new respiratory syndrome associated with exposure to a humidifier disinfectant (HD) in South Korea that is distinct from the well-known HD-related lung injury (HDLI). The authors identified this condition in 24 study subjects who were family members of patients with definite or probable HDLI (referred to as index cases), and were exposed to HD in the same room as the index cases. **Material and Methods:** The authors reviewed medical records of 236 family members in 110 families who were exposed to HD in the same rooms and residences as the index cases. **Results:** They identified 24 family members who were exposed to HD in the same rooms and residences as the index cases, and who developed respiratory disorders that were distinct from HDLI. The clinical signs and symptoms of these individuals were in the upper respiratory tract, such as allergic rhinitis and croup, or in the lower respiratory tract, such as bronchitis and pneumonia. The diffusing capacity of the lung for

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carbon monoxide was reduced in 9 of 12 children (data not available for 1 child), and in 4 of 5 adults (data not available for 6 adults). The percent forced vital capacity and forced expiratory volume in one second/forced vital capacity ratios were within the normal ranges in most patients. The computed tomography findings, which mostly indicated non-specific inflammation, were inconsistent with the radiological diagnostic criteria for HDLI, but were abnormal in 8 of 11 adults, and in 2 of 13 children. **Conclusions:** The authors propose a new condition, i.e., HD-related respiratory syndrome (HDRS), which is characterized by mild to moderate or atypical respiratory symptoms and signs, and is related to HD exposure, but is distinct from HDLI. The recognition of HDRS may provide a basis for understanding the natural history of HD-related respiratory problems, and for capturing the whole spectrum of HD-related clinical manifestations in the respiratory tract. Int J Occup Med Environ Health. 2020;33(6):829–39

#### Key words:

pneumonitis, respiratory, lung, disinfection, injuries, humidifier

### **INTRODUCTION**

Humidifier disinfectant-related lung injury (HDLI) is a serious HD-induced damage to the lungs that occurred exclusively in South Korea and was first reported in 2011 [1]. An antimicrobial biocide, polyhexamethylene guanidine (PHMG) phosphate, was the causative ingredient in the commercially available product [1], and it was subsequently banned. The symptoms of HDLI were cough, dyspnea, and occasionally fever, and the progression was subacute or rapid, similar to acute respiratory distress syndrome (ARDS). Radiologically, HDLI is characterized by diffuse centrilobular ground-glass opacity and nodules, which spares the subpleural spaces and often accompanies spontaneous air leaks, such as pneumothorax or pneumomediastinum, but with no evidence of air trapping or reticular opacity. The histopathological features typically include a bronchocentric distribution of fibroinflammatory lesions, which become more pronounced over time. Epidemiological studies have indicated that HDLI occurs during dry seasons and is clustered within families [2–6].

A rodent study has reported that intratracheal instillation or inhalation of PHMG phosphate induces severe lung inflammation and fibrosis, similar to the pathological features in affected humans [7–9]. The aerosol form of PHMG (nano-sized PHMG) that is released by humidifiers can penetrate and accumulate deep in the lungs [10]. The analysis of the pathological, radiological and clinical features of HDLI cases, and lung histopathology of HD-exposed experimental animals, led to the refinement of the diagnostic criteria for HDLI in 2013 [11,12] (Tables 1 and 2). In the determination of the HD-relatedness of lung diseases, these criteria focused on rapidly progressive damage and severe interstitial lung disease with interstitial fibrosis on the terminal bronchioles and alveoli that can be differentiated from other interstitial lung diseases, such as acute interstitial pneumonia and hypersensitivity pneumonitis (HP). However, these criteria are likely too restrictive to cover the whole spectrum of HD-related respiratory symptoms, which likely include mild or atypical forms of interstitial lung diseases and lung parenchymal diseases other than interstitial lung diseases, or upper airway injuries [12].

The authors examined the clinical features of family members of patients with definite or probable HDLI (referred to as index cases) to clarify the whole spectrum of respiratory symptoms and signs related to exposure to HD. All family members were exposed to HD in the same rooms and residences as the HDLI patients.

# MATERIAL AND METHODS

The authors used data from the Korea Environmental Industry and Technology Institute (KEITI), which officially collected information on individuals with lung disease who claimed that their disease was related to the use of HD. The Korean government sent a notice about the nationwide investigation to all persons who had reported or had simply raised concerns about an illness or death potentially due to the use of HD, via an internet webpage, mass media or advertisements providing contact telephone numbers. All registered claimants were asked to submit all relevant medical records, including radiographic images and pa-

Table 1. Diagnostic criteria for humidifier disinfectant-related	l lung injury (	(HDLI) [15]
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Criteria	Lung injury
Clinical	<ul> <li>strong clinical history of symptoms, physical signs, and radiological features consistent with humidifier disinfect- ant exposure without evidence of infectious, autoimmune and other typical interstitial lung diseases</li> </ul>
CT imaging	<ul> <li>initially multifocal, patchy consolidation sparing the subpleural areas, then with the disappearance of consolidation followed by progression to diffuse, centrilobular, ground-glass opacity</li> <li>no evidence of air trapping or reticular opacity</li> <li>persistent, diffuse and extensive centrilobular ground-glass nodular opacities with no evidence of air trapping on follow-up HRCT</li> </ul>
Pathological	<ul> <li>non-suppurative necrotizing and obliterate bronchiolitis with various stages of peribronchiolar organizing alveolar damage</li> <li>relative sparing of the subpleural and paraseptal parenchyma</li> <li>patchy distribution of alveolar damage with predominant centrilobular distribution</li> <li>cellular and fibrosing interstitial pneumonia with predominant centrilobular distribution but without granuloma</li> </ul>

CT - computed tomography; HRCT - high-resolution computed tomography.

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Table 2. Classification of injuries in patients with different levels of humidifier disinfectant-related lung injury (HDLI) [12]

Level	Injury classification
1 (definite)	as a confirmed case of humidifier disinfectant exposure, the case can be verified through centrilobular radiological findings, typical clinical findings on the basis of the clinical course of the disease, and/or pathological findings; the possibility of HDLI (disease of the terminal bronchiole caused by a humidifier disinfectant) is almost certain or highly probable without any other causes for lung disease
2 (probable)	as a confirmed case of humidifier disinfectant exposure, the case can be verified through centrilobular radiological findings, typical clinical findings on the basis of the clinical course of the disease, and/or pathological findings; the possibility of HDLI (disease of the terminal bronchiole caused by humidifier disinfectant) is probable or somewhat likely even though other causes cannot be ruled out completely
3 (possible)	as a confirmed case of humidifier disinfectant exposure, the case can be suspected through centrilobular radiological findings, typical clinical findings on the basis of the clinical course of the disease, and/or pathological findings, but those findings are not compatible with typical HDLI; the possibility of HDLI (disease of the terminal bronchiole caused by humidifier disinfectant) is not probable because other causes cannot be ruled out
4 (unlikely)	as a confirmed case of humidifier disinfectant exposure, the case is different from centrilobular radiological findings, typical clinical findings on the basis of the clinical course of the disease, and/or pathological findings; the possibility of HDLI (disease of the terminal bronchiole caused by humidifier disinfectant exposure) is almost certainly unlikely because other causes may be suspected
Indeterminate	lack of data to identify HDLI

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thology specimens to KEITI. The claimants were then clinically examined by the Lung Injury Investigation Committee in KEITI for the diagnosis and confirmation of HDLI. The committee periodically held multidisciplinary conferences that were attended by adult and pediatric pulmonologists, radiologists, pathologists, and environmental medicine specialists. They closely reviewed the clinical manifestations, high-resolution computed tomography



**Figure 1.** High-resolution computed tomography in a patient of the Asan Medical Center performed on July 15, 2016 a) inspiratory (inhomogeneous lung opacity is visible), and b) expiratory (marked accentuation of inhomogeneity due to air trapping, suggestive of small airway disease) (case 3)



**Figure 2.** High-resolution computed tomography in a patient of the Asan Medical Center performed on December 8, 2016 – regional, subtle tiny centrilobular nodules in bilateral lower lobes (case 4)

(HRCT) observations, and the findings of examinations of various pathology specimens, such as biopsy specimens, and explanted or autopsy lungs of patients in the light of the diagnostic criteria for HDLI (Tables 1 and 2).

The combination of clinical manifestations, radiological findings, exposure to HD, and the temporal relationship between the clinical course and exposure to HD, as well as pathological findings, were used for the confirmation of HDLI. The subjects were selected from the first to third



**Figure 3.** High-resolution computed tomography in a patient of the Asan Medical Center performed on Dec ember 15, 2016 – regional, subtle tiny nodules and fine reticulation in bilateral lower lobes (case 5)

round of investigations, conducted in July 2013–December 2015. From the first to third round of investigations, 1196 individuals in 748 families who claimed that their HD exposure was related to their diseases received final decisions from the investigation. At that time, 110 of 748 families had  $\geq$ 1 members who were recognized as HDLI cases. The authors reviewed the medical records of all the 110 families, defining family member(s) with HDLI as index case(s). They identified 236 family members who were exposed to HD in the same rooms and residences as the index cases in these families. After receiving an explanation of the survey, all participants provided written informed consent for participation. This survey was approved by the Institutional Review Board of the National Institute of Environmental Research (NIER-2018-04-02-075).

#### RESULTS

The authors identified 24 family members (11 adults and 13 children) with respiratory symptoms, who were exposed to HD in the same rooms and residences as the index cases (Table 3). Their clinical signs and symptoms were compatible with a history of HD exposure, diverse laboratory findings of the diffusing capacity of the lung for carbon monoxide (DLCO), and computed tomography (CT). Their clinical signs and symptoms were in the upper respiratory tract (URT), such as URT infection, allergic rhinitis, croup, or the lower respiratory tract (LRT) infection, such as bronchitis and pneumonia, but were distinct from typical HDLI. Most individuals received care in an outpatient department. The DLCO was reduced in 9 of 12 children (data not available for 1 child), and in 4 of 5 adults (data not available for 6 adults). The percent forced vital capacity (%FVC) and forced expiratory volume in 1 second/forced vital capacity (FEV<sub>1</sub>/FVC) ratios were within the normal ranges in almost all patients. The CT findings mostly indicated nonspecific inflammation, and were inconsistent with the radiological diagnostic criteria of HDLI, but were abnormal in 8 of 11 adults and in 2 of 13 children (Figures 1–3).

### DISCUSSION

Generally, HDLI manifests as cough, dyspnea, and occasionally as fever. It usually has a rapidly progressive clinical course, similar to ARDS [2,5], and develops during winter and early spring, the seasons when humidifiers are mainly used in Korea due to low humidity [6]. In numerous cases, HLDI is refractory to steroid therapy. Radiologically, HLDI is characterized by diffuse centrilobular ground-glass opacity and nodules sparing of subpleural spaces, often with spontaneous air leaks, such as pneumothorax or pneumomediastinum, but with no evidence of air trapping or reticular opacity.

The differential diagnosis should differentiate HDLI from HP and acute exacerbation of idiopathic pulmonary fibrosis (IPF). Subacute HP shows characteristic radiological findings, such as mosaic attenuation due to air trapping; this is rare in HDLI, although HP also shows ill-defined centrilobular opacities [13]. Moreover, the lack of response to corticosteroid therapy is inconsistent with a diagnosis of HP [2]. Pathologically, HP is characterized by bronchiolocentric granulomatous lymphocytic alveolitis, whereas HDLI is characterized by diffuse alveolar damage [11]. Acute exacerbation of IPF often presents as a rapid progression of respiratory failure, similar to HDLI, but a bronchocentric distribution of lung injury is inconsistent with IPF [4]. A bronchocentric injury pattern suggests inhalation of HD as the causative mechanism [4].

In the present study, approximately 10% of the study subjects presented with a variety of respiratory symptoms and signs, such as rhinitis, sinusitis, pharyngitis, laryngitis, asthma, bronchitis, bronchiolitis, and pneumonia, that were inconsistent with HDLI, defined as severe interstitial lung disease focused on the terminal bronchioles and alveoli. However, each of the 24 subjects had family members with confirmed HDLI, so it seemed likely that these subjects also had a high level of exposure to HD. The spectrum of HD-related respiratory injuries included lung parenchymal diseases other than interstitial lung diseases, and URT injuries. Thus, the respiratory effects of HD exposure include respiratory diseases such as rhinitis, sinusitis, pharyngitis, laryngitis, asthma, bronchitis, bronchiolitis and pneumonia, as well as HDLI, the most severe and (sub)acute form of lung damage.

The present study suggests that other atypical signs, in addition to centrilobular radiological findings used as a criterion of HDLI, can occur in HD-related lung injuries.

he same rooms and residences	
y members (N = $24$ ) who were exposed to humidifier disinfecant (HD) in the	ed lung injury (HDLI) in July 2013-December 2015 in South Korea
Table 3. Clinical signs and symptoms of family	as the patients with definite or probable-relate

	Particip	ants	H	listory		Results	
No	age .	SeX	family	exposure	clinical course	pulmonary function test	CT findings
	43 years	female	child (definite)	2005 Sep-2006 Apr	frequent URI in the late phase after exposure (OPD)	FVC: 103%, FEV <sub>1</sub> /FVC: 82%, DLCO: n.a. (2014)	subtle faint centrilobular GGO nodules in both lungs, especially RLL (2014)
2	39 years	male	child (definite)	2009 Dec-2011 Feb	cough, chest pain after exposure (OPD)	FVC:119%, FEV <sub>1</sub> /FVC: 76%, DLCO: 82 (2013)	localized faint GGO in LUL, suggestive of inflammation (2013)
$\mathfrak{c}$	42 years	male	wife/child (definite)	2006 Oct-2011 Apr	exertional dyspnea Gr3→Gr1 cough, sputum; persistent (OPD)	FVC: 85%, FEV <sub>1</sub> /FVC: 85%, DLCO: n.a. (2016)	air trapping in both lungs, suggestive of small airway disease (2016)*
4	46 years	male	2 children (definite)	2004–2007 May	exertional dyspnea (Gr1) persistent (OPD)	FVC: 94%, FEV <sub>1</sub> /FVC: 98%, DLCO: n.a. (2016)	regional, subtle tiny centrilobular nodules in bilateral lower lobes (2016)
Ś	63 years	female	child (definite)	2004 Dec-2006 Apr	cough, sputum, dyspnea (mMRC Gr3) in the early phase after exposure (OPD)	FVC: (85%), FEV <sub>1</sub> /FVC: 76%, DLCO: n.a. (2016)	regional, subtle tiny nodules and fine reticulation in bilateral lower lobes (2016)
9	40 years	female	child (definite)	2010 Feb-2011 Apr	frequent URI after exposure (OPD)	FVC:79%, FEV <sub>1</sub> /FVC: 93%, DLCO: n.a. (2014)	minimal ill-defined increased opacities in subpleural area of both upper lobes (2014)
	42 years	female	2 children (definite)	2004 Nov-2007 Apr	persistent allergic rhinitis (OPD)	FVC:87%, FEV <sub>1</sub> /FVC: 91%, DLCO: n.a. (2016)	tiny nodular lesion in RLL (2016)
$\infty$	38 years	male	wife (definite), 2 children (probable)	2004 Apr-2007 May	cough, chest pain, bronchiectasis	FVC: 119%, FEV1/FVC: 104%, DLCO: 74% (2013)	bronchiectasis; fibrocalcified patchy density and subsegmental bronchiectasis of lateral basal segment of RLL (2013)
6	43 years	female	child (definite)	2001 Nov-2011 Aug	chest discomfort (OPD)	FVC:107%, FEV <sub>1</sub> /FVC: 89%, DLCO: 68 (2013)	WNL (2013)
10	29 years	female	child (definite)	2009 Oct-2010 Mar	cough, dyspnea shortly after exposure (OPD)	FVC: 99%, FEV <sub>1</sub> /FVC: 88%, DLCO: 61 (2013)	WNL (2013)
11	34 years	male	child (definite)	2010 Oct–2011 May	persistent exertional dyspnea (OPD)	FVC: 82, FEV <sub>1</sub> : 89, DLCO: 62 (2013)	WNL (2013)
12	11 years	male	mother (definite)	2006 Oct-2008 Feb	pneumonia-like symptoms in the early phase, and allergic rhinitis in the late phase after exposure (OPD)	FVC:82%, FEV <sub>1</sub> /FVC: 96%, DLCO: 87% (2016)	suspicious subtle centrilobular opacity in the peripheral zone of both lungs (2013)

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The HRCT findings of the individuals exposed to HD vary with the disease severity and the time since exposure. Those who did not survive HDLI more often had spontaneous air leaks, with a wide area of consolidation and diffuse ground-glass opacity, compared with survivors [4,14]. The major radiological features of HDLI show unique changes over time. There are initially areas of patchy consolidation involving the upper lung periphery and posterior lower lung zones, but sparing the subpleural areas. These areas evolve into centrilobular opacities with the disappearance of consolidation, and gradually disappear at about 5 years after cessation of exposure [11,15]. A recent paper has shown that HDLI likely has a diverse clinical course, and that emphysema and bronchiectasis can occur in the advanced stages, based on long-term follow-up [1]. As DLCO is a measure of the conductance of gas transfer from inspired gas to the red blood cells [16], when reduced, it may indicate a condition that affects the effective alveolar surface area [17]. A previous study examined 39 HDLI patients who underwent a pulmonary function testing at diagnosis, and reported a restrictive lung disease pattern and reduced DLCO. However, lung function recovered to normal in 54% of these patients, with the return of mean FVC (%) values to the normal range, whereas the mean DLCO remained low during follow-up [1]. Thus, reduced DLCO may be considered a marker of lung injury. Furthermore, many of the present 24 patients who experienced previous respiratory symptoms and signs had low DLCO, even though their %FVC and CT results were normal. Hence, DLCO may be a useful biomarker of a past lung injury from HDLI in individuals whose current pulmonary function test and CT results are normal.

The clinical spectrum of HD-related respiratory symptoms and signs appears to range from asymptomatic to full-blown acute respiratory failure and death [3,11]. Thus, some family members who were exposed to HD in the same rooms as HDLI patients (the index cases) experienced no respiratory symptoms. However, most family members responded to supportive care, such as symptomatic therapy or antibiotic treatment, and they did not fulfill the diagnostic criteria of HDLI. A previous study of HDLI reported that patients who experienced mild hypoxemia responded to supportive care, such as conventional oxygen therapy, antibiotic treatment and steroids [3]. However, patients with refractory hypoxemia exhibited rapid progression despite the administration of antibiotics, antivirals, antifungals, steroids and other immunosuppressants, as well as mechanical ventilation and extracorporeal membrane oxygenation [3]. Most of the index cases in the present study were in this latter category.

Recent research has shown that exposure to HD also increases the risk of asthma and interstitial pneumonitis [18,19]. Taken together, it is possible that HD-related respiratory manifestations have clinical features ranging from mild to moderate or atypical upper/lower airway diseases to severe interstitial lung damage, such as HDLI. This interpretation is compatible with the toxicological perspective implying that the severity of a toxicant-related disease depends on the dose, exposure pattern, and host factors. The current diagnostic criteria of HDLI focused on a rapidly progressive and severe lung injury, and were developed to exclude patients whose clinical symptoms may have been similar but were not due to HD exposure. As a result, these criteria excluded many patients who were exposed to HD but only experienced mild to moderate respiratory symptoms or atypical respiratory problems. The present findings suggest that these patients with mild to moderate or atypical respiratory symptoms should be classified as clinical manifestations related to HD exposure.

Thus, the current diagnostic criteria of HDLI consider the causal relationship between severe lung injury and exposure to HD as highly specific, but it is very rare to have highly specific causes of diseases in human toxicology. Instead, most causal relationships in toxicology are nonspecific, in that there may be multiple causes of a single disease, and a single factor may cause multiple diseases [20]. In the case of the health effects of HD, a complex combination of causes may lead to a spectrum of effects, from specific to non-specific. This is because the physical characteristics, dose and host factors of a toxicant determine its effects [20].

Therefore, a new classification is needed which is compatible with the natural history and the whole spectrum of toxicant-related diseases. Thus, the authors propose the condition of HD-related respiratory syndrome (HDRS), which is defined by the presence of mild to moderate or atypical respiratory symptoms and signs related to HD exposure, and is distinct from HDLI. The diagnostic criteria for HDRS are:

- a status as a family member of an HDLI index case, who was exposed to HD in the same room and residence;
- mild to moderate or atypical clinical symptoms and signs involving URT and LRT, but distinct from typical HLDI;
- non-specific HRCT findings, distinct from typical centrilobular radiological findings used to diagnose HDLI, or reduced DLCO;
- a history of exposure to HD, clinical manifestations, and CT findings that are compatible.

The present study is the first to evaluate the clinical features of family members of recognized HDLI patients who were also exposed to a high level of HD. Little is known about the natural history or the whole spectrum of HDrelated clinical manifestations in the respiratory tract, except for full-blown HDLI. Therefore, the recognition of HDRS may provide a better understanding of the natural history of HD-related respiratory problems by capturing the whole spectrum of HD-related clinical manifestations in the respiratory tract.

In the present study, the authors identified HDRS as a new type of respiratory syndrome that is distinct from HDLI. The syndrome is characterized by the presence of mild to moderate clinical symptoms and signs involving URT and LRT, and was present in 24 of 236 family members that had an HDLI index case. The differences in clinical manifestations among family members could be explained by differences in exposure to HD, in which exposure to a higher concentration and/or longer duration may lead to HDLI, and by differences in individual susceptibility to PHMG phosphate among family members.

The present study had several strengths. The authors examined family members of recognized HDLI patients (definite or probable) who were exposed to HD in the same room. The cohabitation of a family member with an HDLI patient provides a reliable assessment of a high level of exposure. The present study also had some limitations. First, the authors could not quantify HD exposure, and were, therefore, unable to compare the dose, duration, frequency and cumulative dose, or the effect of shortterm high-level exposure to HD, among family members although they limited the study subjects to those family members who were exposed to HD in the same rooms as the HDLI (a homogenous exposure group). Second, they did not examine differences in susceptibility between family members. Finally, they had no control population, and were unable to compare these results with those in the control population.

# CONCLUSIONS

The authors identified 24 family members of HDLI index cases who were exposed to HD in the same rooms and residences as the index cases. These family members showed respiratory signs or symptoms that were distinct from typical HDLI. Thus, the authors propose a new disease, HDRS, defined by the presence of mild to moderate or atypical respiratory symptoms and signs related to HD exposure, that is distinct from HDLI. The recognition of HDRS may improve the overall understanding of the natural history of HD-related respiratory problems by capturing the whole spectrum of HD-related clinical manifestations in the respiratory tract.

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